

HARNES RACING AUSTRALIA INC

Australian Trotting Stud Book Regulations Governing the Entry of Sires, Dams and Progeny

Stud Book Regulation 1

In the interpretation of these Regulations the following words shall mean:-

“Artificial breeding” means artificial breeding as defined by Australian Harness Racing Rule 281.

“Harness Racing Australia” or **“Council”** means Harness Racing Australia as defined by the Australian Harness Racing Rules.

“Breeder”

- (a) In the case of a foal which is the result of an embryo transfer, the registered owner or lessee of the donor mare at the time the embryo is flushed from such donor mare and impregnated into a recipient mare.
- (b) In all other cases, the registered owner or lessee of the dam at the time of last date of service.

“Foal Died” is a foal that is still born, dies during birth or does not stand and suckle after birth.

“Foreign horse” means a horse foaled outside Australia.

“Keeper of the Stud Book” is the person appointed by Harness Racing Australia to keep records of sires, mares and foalings and to publish annual editions of the Stud Book in accordance with the Harness Racing Australia Rules of Association and these Regulations.

“Live Foal” is a foal that stands and suckles after birth.

“Registrar” is the person appointed by Harness Racing Australia to supervise the allocation of names in accordance with these Regulations.

“Standardbred” when used with reference to a horse means a horse which is the progeny of a registered sire and registered dam.

Stud Book Regulation 2

“The Australian Trotting Stud Book” shall be regulated by the Council through the Keeper of the Stud Book who shall keep such records in such format as is necessary to produce an annual Stud Book. The information contained in each annual Stud Book will comprise a record of all standardbreds active in breeding and racing and all horses named subsequent to the publication of the previous Stud Book together with such information and records as may be deemed desirable and as contained in Schedule A.

Stud Book Regulation 3

A Member of Council shall not permit any horse to participate in any breeding or racing activities in its jurisdiction unless and until it has a name approved by the Registrar of Names in accordance with the provisions for the naming of horses as contained in Schedule B.

Stud Book Regulation 4

A horse shall not be eligible for registration and naming unless:-

- (a) It is the progeny of a standardbred sire and dam named and registered in accordance with the Rules and these Regulations, and
- (b) It is freeze branded or otherwise identified by a method approved by Council, and
- (c) A blood sample or other bodily sample has been taken for blood typing or D.N.A. genotyping at an approved laboratory and the results thereof are lodged with the Council, and
- (d) It is progeny that conforms to the following genetic principles:-
 - i. Chestnut colouration is recessive to all other colours and therefore any progeny that is not chestnut from a chestnut to chestnut mating is not eligible for registration;
 - ii. Grey colouration is dominant in relation to all the basic colours and therefore any progeny that is grey is not eligible for registration unless at least one of its parents is also grey.

Notwithstanding sub-clauses (i) and (ii) such progeny will be eligible for registration in the event that blood typing and / or D.N.A. genotyping establishes to the satisfaction of the Council that the horse in dispute is the progeny of the said sire and dam.

Stud Book Regulation 5

- (a) A horse shall not be eligible for naming if the foaling of such horse has been notified after it attains the age of one year unless such horse's parentage has been verified by blood typing tests or D.N.A. genotyping at an approved blood typing laboratory.
- (b) A horse shall not be eligible for naming if the foaling of such horse is notified after it attains the age of two years, except where an injustice would occur and the approval of registration has been recommended by a Member of Council.

Stud Book Regulation 6

The published record or details of any standardbred begotten by "*artificial breeding*" shall not be made distinctive by a mark or sign or by any method in any way which indicates it was begotten by "*artificial breeding*".

Stud Book Regulation 7

The provisions of Regulations 5 and 6 shall apply to any foreign horse.

Stud Book Regulation 8

- (a) A foreign horse shall not be eligible for registration in Australia until such time as:-
 - i. Harness Racing Australia receives a clearance or export certificate from the country in which such foreign horse was last registered, and
 - ii. The appropriate import clearance fee is paid, and
 - iii. It is freeze branded with a freeze branding code or identified in some other manner approved by Council.

Provided That the Council may exempt foreign horses from the necessity of freeze branding:-

1. If such foreign horse is imported for racing purposes and the Council is satisfied that such horse will be subsequently exported back to the country of origin; OR
 2. Such foreign horse bears a legible lip tattoo.
- (b) A foreign horse shall be eligible for racing in Australia provided such horse:-
- i. Is registered with the controlling trotting authority of the country they were exported from;
 - ii. Is a standardbred;
 - iii. Has been parentage verified by blood typing or DNA genotyping.

Stud Book Regulation 9

The owner of a foreign horse, which is imported for racing purposes, is eligible for a full refund of any import fee if such horse leaves Australia within twelve weeks of arrival.

Stud Book Regulation 10

A sire or dam or their progeny shall not be eligible for any National Award unless it is named and registered in accordance with the Rules and these Regulations.

Stud Book Regulation 11

In the event of any breach of these Regulations the Council may direct that any sire, dam or their progeny be ineligible for either naming or entry in the Stud Book, or set down conditions or require undertakings before a specific horse is named or before a sire, dam or their progeny are entered in the Stud Book.

Schedule A – Stud Book

The Australian Trotting Stud Book will consist of the following tables and the Council may add such additional tables and/or information in its discretion.

Table 1 – Sires

Part A - General List

This part shall comprise standardbred sires that have sired progeny or winners subsequent to the publication of the previous Stud Book.

The entry therein shall include a distinctive number, best racing or time trial performance, colour, year of foaling and pedigree to second generation and the breeder's name and the State of domicile.

The entry shall also include a list of the names of mares that have produced to the sire concerned together with an annual updated list of winners and their best performances.

Qualifying Trial times shall not be recognised.

Part B - Tabulated Pedigrees of Imported Stallions

This section shall include all those stallions to be used at Stud from North America and Europe and its purpose is to record the breeding of sires and dams in the stallions' pedigrees, which are not otherwise chronicled in the Stud Book.

Table 2 - Dams

All standardbred mares producing a foal to a standardbred sire or having a previously notified foal named or having produced with new or reduced time performance or increased stakemoney subsequent to the publication of the previous Stud Book shall be automatically entered in the next following Stud Book.

The mares' entry will parallel that of a sires entry and the breeders name of such of her foals will also be recorded.

Qualifying Trial times shall not be recognised.

Table 3 – Index to Registrations

All horses whose names have been issued and/or approved by the Registrar since the publication of the previous Stud Book shall be entered in the next published Stud Book in the table called Index to Registrations. The entry shall include the allotted name, colour/sex, year of foaling and the breeding thereof. All such approved names shall also be entered against the dams record of foalings.

General Provisions

Winning performances in a race and against time endorsed by Council shall be the only time performances recorded in the Stud Book.

Times for races less than a mile shall not be recorded. The style, form, layout of the Stud Book shall be at the general discretion of the Keeper of the Stud Book.

Schedule B – Allocation of Names

1. The Registrar shall allocate the first available name of any list of names submitted by a Member of Council after having first eliminated:-
 - (a) Any existing names of trotting or pacing horses appearing on the Australian name register or the name of any past renowned racetrack performer as identified in the Name Protection Guidelines or as a winner of a race specified in the Australian Harness Racing Chronicles.
 - (b) Any name having political, religious, commercial or sacred significance or that is otherwise inappropriate.
 - (b) Any name of indecent, undignified or irreverent character in English or any other language.
 - (d) Any name having single initials or numerals, either as a whole name or used as a part of the name with the exception of the letter “A” or “I” (imported horses excepted).
 - (e) Any name likely to cause confusion by reason that it sounds or reads the same as an existing name.
 - (f) Any name of a known living or dead person the naming of which would be objectionable.
 - (g) Any name of an Institution or Organisation, or any name which does or may infringe trademark or copyright.
 - (h) Any name the reverse of which is the name of a horse currently racing.
 - (i) Any name likely to cause confusion in breeding records by reason that it is the duplicate of a name prominent in breeding or racing outside Australia.
 - (j) The name of any Sire or Dam in the Australian Trotting Stud Book.
 - (k) Any name more than eighteen letters in length (including spaces).
2. If all names on a list are eliminated the Registrar shall call for a new list to be submitted. The Registrar cannot issue a previously issued name.
3. The Registrar shall refuse to allocate a name to any horse unless:-
 - (a) It is submitted through any of the Controlling Bodies.

- (b) It is the progeny of standardbred sire and dam.
4. The Controlling Body of each State will:-
- (a) Have incorporated on its standard name application form an acknowledgement and acceptance by the owner of the horse of complete legal liability should a name be submitted and name be allocated which infringes any trade mark or copyright.
- (b) Have incorporated on its standard name application form advice to the effect that not more than three (3) of the names submitted shall be combinations of either the whole or part of names of the sire and dam.
- (c) Ensure that the name of a foreign horse is submitted to the Registrar for verification and recording and the Registrar shall advise the Controlling Body that the name is available or otherwise.
5. (a) A foreign horse shall be allotted its existing name and in addition shall have a suffix added to its name to denote the country of origin.
- (b) The suffix to be added to the name shall be as follows:-
- | | |
|--------------------|-----|
| New Zealand | NZ |
| United States | USA |
| Canada | CA |
| Europe (Inc. U.K.) | EU |
- (c) The Registrar may in extenuating circumstances allow a new name, however, in such case where a name is changed the suffix applicable shall be added to the new name.
6. (a) Subject to sub-regulation (b) a name allotted by the Registrar shall not be changed in any circumstances unless it can be shown by the Registrar or by the Controlling Body that there is good and just cause.
- (b) The purchaser of a horse that has been allocated a name by the Registrar shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial and the change is made before the 30th June in its yearling season.
7. If any matter arises that is not specifically covered by these Regulations then the Registrar may allow a name or a new name but shall report the circumstances to Council at the first opportunity for policy determination.

8. Harness Racing Australia may approve a change of name of a foreign horse provided such horse has never raced in Australia. Each such application shall be treated on its merits and shall be accompanied by the relevant fee(s).
9. The Registrar may correct any misspelt or incorrect renderings of a word or phrase.
10. Apostrophes and dots between initials shall be eliminated.
11. The Registrar of a Controlling Body submitting a list of names shall have the right to delete a name or names prior to the submission of such list to the Registrar. However, a name once allotted shall not be changed unless it is in accordance with Rules 6, 7, 8, 9 and 10.
12. The Registrar shall have the authority to order a change of name wherever such a name is deemed necessary to comply with the context of these Rules.

Please Note: On 1st March 2008 Harness Racing Australia Inc (HRA) replaced Australian Harness Racing Council Inc (AHRC) as the organisation's name. All AHRC's formal structures, rules, regulations, policies, processes etc., now pertain to HRA, and any reference to Australian Harness Racing Council Inc, AHRC, Council or The Council shall mean Harness Racing Australia Inc or HRA.

Schedule C – Additional Subscriptions

Collected and Remitted by Members of Council to the HRA

Code	Service	Prescribed Fee	HRA Component +GST
Sch C 1.	<i>Allocation of Names</i>	SCB	\$15.45
Sch C 3.	<i>Change of Name</i> - Yearling until 30th June (Schedule B – Reg 6 (b))	\$125.00	\$102.00
Sch C 4.	<i>Change of Name</i> - Imported Foreign Horse (Schedule B – Reg 8))	Nil	\$459.00
Sch C 5.	DNA Test *	SCB	Maxxams \$27.69 HRA \$12.31 Total \$40.00 (From 1/9/11)
Sch C 6.	<i>Export Certificates</i>	SCB	\$309.09
Sch C 7.	<i>Freeze Branding (per horse)</i>	SCB	Nil
Sch C 8.	Registration of Foals	SCB	\$15.45
Sch C 9.	<i>Registration of Sires</i>	SCB	\$105.00
Sch C 10	<i>Import Fee</i> - Horse/Gelding Filly/Mare	SCB SCB	\$155.00 \$51.82
A.I. Sch	<i>Registration of Foreign Stallion</i> Artificial Breeding Purposes (Note A)	Nil	\$612.00
<i>NOTES:</i>			
A	Fee applicable per Importer per Stallion plus any DNA costs applicable.		
SCB	Part of Fee levied by each State Controlling Body.		

Fees applicable from 1 July 2011.

* DNA fee applicable from 1 September 2011